

03/01/02
jc839 U.S. PTO

03-04-02

A

PATENT

Docket No. CIL-10972

Customer No. 26857

Commissioner for Patents
Washington, DC 20231

jc973 U.S. PTO
10/086614
03/01/02

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

Paul B. Mirkarimi, Eberhard A. Spiller, Daniel G. Stearns

For (title): ION-ASSISTED DEPOSITION TECHNIQUES FOR THE
PLANARIZATION OF TOPOLOGICAL DEFECTS

1. Type of Application

☒ This new application is for an original patent.

☐ This new application is a:

- ☐ Division
- ☐ Continuation
- ☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

☐ The new application being transmitted claims the benefit of prior U.S. application(s) listed below:

Application Serial No. _____

Filing Date _____

3. oBenefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

Application Serial No. _____

Filing Date _____

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

16 Pages of specification

5 Pages of claims

1 Pages of abstract

7 Sheets of drawings

☒ formal

5. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ All the inventors
 - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
 - ☐ Not Enclosed

7. Assignment

- ☐ An assignment of the invention to The Regents of the University of California.
 - ☒ is attached
 - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	20	-20 =	0	x \$18 =	\$.00
Independent Claims	2	-3 =	0	x \$84 =	\$.00
				Multiple Claims	= \$
				Basic Filing Fee	= \$ 740.00
				Total	= \$ 740.00

10. Small Entity Statement(s)

- o This is a filing by small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of regular filing fee) \$ _____

11. Fee Payment

- o Not Enclosed
■ Enclosed

Total Basic Filing Fees To Be Paid \$ 740.00

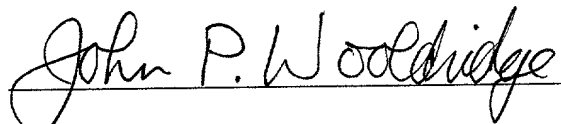
12. Method of Payment of Fees

- o Check in the Amount of .00
■ Authorization is hereby \$740.00
given to deduct the total
fee from Deposit Account
501913

A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

- credit/charge
Account No. 501913
o refund



John P. Wooldridge

ATTORNEY

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Dated: March 1, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul B. Mirkarimi et al.

Docket No. : CIL-10972

Serial No. :

Art Unit:

Filed : March 1, 2002

Examiner:

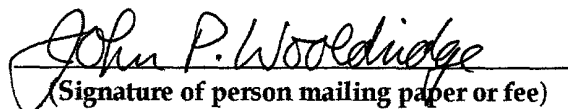
For : ION-ASSISTED DEPOSITION TECHNIQUES FOR
THE PLANARIZATION OF TOPOLOGICAL DEFECTSCommissioner for Patents
Washington, D.C. 20231EXPRESS MAIL CERTIFICATE"Express Mail" label number: EL872018674USDate of Deposit: March 1, 2002I hereby certify that the following *attached*

1. New Application Transmittal (3 pages) (in duplicate)
2. Recordation Cover Sheet (1 page) (in duplicate)
3. Assignment (1 page)
4. Combined Declaration and Power of Attorney (3 pages each)
5. Application
(Specification 16 pages, Claims 5 pages, Abstract 1 page)
Seven (7) sheets of formal drawings;
6. Express Mail Certificate
7. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

John P. Wooldridge

(Type or print name of person mailing paper)


(Signature of person mailing paper or fee)

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